

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit:

Unknown

Volker Stanjek et al.

Examiner:

Unknown

Serial No.:

10/595.010 v

Filed:

December 15, 2005

For:

ALKOXYSILANE TERMINATED PREPOLYMERS

Attorney Docket No.: WAS 0749 PUSA

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Mail Stop Amendment Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Date of Deposit

William G. Conger
Name of Person Signing

Signature

Accompanying the Information Disclosure Statement is the statement of Dr. Helmut Fritz describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

Volker Stanjek et al.

Rv.

William G. Conger Reg. No. 31,209

Attorney/Agent for Applicant

Date: January 9, 2006

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Phone: 248-358-4400 Fax: 248-358-3351



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

VOLKER STANJEK ET AL.

Serial Number

Group Art Unit:

Filed:

For: ALKOXYSILANE-TERMINATED PREPOLYMERS:

### <u>Information Disclosure Statement</u>

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

#### Sir or Madam:

I, Helmut Fritz, associated with the preparation and prosecution of the above-identified application, residing at Kreuzstraße 11, 83624 Otterfing, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application as well as in the corresponding International Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

For DE 2155258 an English Derwent Abstract is enclosed.

For WO 03/066701 the corresponding US 2003153712 is enclosed which is already in English language.

DE 10142050 corresponds to WO 03/018658 for which an English Derwent Abstract is enclosed.

For EP 0261409 the corresponding US 4857623 is enclosed which is already in English language.

For EP 0354472 an English Derwent Abstract is enclosed.

EP 0372561 is already in English language.

For EP 0269819 an English Derwent Abstract is enclosed.

WO 00/37533 is already in English language.

US 6,207,766 is already in English language.

US 3,971,751 is already in English language.

WO 96/34030 is already in English language.

US 5,254,657 is already in English language.

EP 0931800 is already in English language.

EP 0070475 is already in English language.

US 5068304 is already in English language.

For EP 1256595 an English Derwent Abstract is enclosed.

EP 0569360 corresponds to WO 92/12823 for which an English Derwent Abstract is enclosed.

EP 0082528 is already in English language.

For DE 19849817 an English Derwent Abstract is enclosed.

US 4,567,228 is already in English language.

Signed this  $MS \stackrel{\mathcal{H}_{\zeta}}{\text{day of December, 2005.}}$ 

Dr. Helmut Fritz

PTO/SB/08A (10-96) [MODIFIED] Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known stitute for Form 1449A/PTO **Application Number** 10/595,010 INFORMATION DISCLOSURE Filing Date December 15, 2005 STATEMENT BY APPLICANT **First Named Inventor** Volker Stanjek et al. **Group Art Unit** Unknown (use as many sheets as necessary) **Examiner Name** Unknown WAS 0749 PUSA 3 **Attorney Docket Number** Sheet of

	U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.¹			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		2003/0153712		Ludewig et al.	08/14/2003		
		4,857,623		Emmerling et al.	08/15/1989	`	
		6,207,766		Doi et al.	03/27/2001		
		3,971,751		Isayama et al.	07/27/1976		
		5,254,657		Inoue	10/19/1993		
		5,068,304		Higuchi et al.	11/26/1991		
		4,567,228		Gaa et al.	01/28/1986		
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.1	Offic	Foreign Patent Doo	cument Kind Code <sup>5</sup> ( <i>if known</i> )	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		DE	2155258		Bayer	05/10/1973		
		WO	03/066701		Bayer	08/14/2003		
		WO	03/018658		Consortium Fur	03/06/2003		
		EP	0 261 409		Henkel	03/30/1988		
		EP	0 354 472		Henkel	02/14/1990		
		EP	0 372 561		Asahi Glass Co.	06/13/1990		Ш
		EP	0 269 819		Bayer AG	06/08/1988		
		WO	00/37533		Adco Products, Inc	06/29/2000		
		WO	96/34030		Minnesota Mining	10/31/1996		

Examiner		Date	
Signature		Considered	
Olghataro	<u> </u>	Conducted	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Unknown

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. JAN 1 3 2006 A PANDEMARY Complete if Known stitute for Form 1449A/PTO 10/595,010 **Application Number** INFORMATION DISCLOSURE **Filing Date** December 15, 2005 STATEMENT BY APPLICANT **First Named Inventor** Volker Stanjek et al.

(use as many sheets as necessary)

3 WAS 0749 PUSA Sheet 2 of Attorney Docket Number

	U.S. PATENT DOCUMENTS						
Examiner	Cite	U.S. PATENT DOCUMENT		Name of Patentee or	Date of Publication of Cited Document	Daniel California Line When Dalaman	
Initials	No.1	Number	Kind Code <sup>2</sup> ( <i>if known</i> )	Applicant of Cited Document	MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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**Group Art Unit** 

**Examiner Name** 

	FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.1	Offic	Foreign Patent Docume se <sup>3</sup> Number <sup>4</sup>	ent Kind Code <sup>5</sup> ( <i>if known</i> )	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		EP	0 931 800		Witco Corp.	07/28/1999	<u>-</u>	
		EP	0 070 475		Essex Chemical	01/26/1983		
		EP	1 256 595		Sika AG	11/13/2002		
		WO	92/12823		Robert Bosch	08/06/1992		
		EP	0 082 528		Union Carbide	06/29/1983		Ш
		DE	198 49 817 A1		Bayer AG	05/04/2000		
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Examiner	Date	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Substitute for	Form 1449B/PTO			Complete if Known			
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				Group Art Unit	Unknown		
	(use as many she	ets a	s necessary)	Examiner Name	Unknown	-	
Sheet	3	of	3	Attorney Docket Number	WAS 0749 PUSA		
	OTHER F	RIC	R ART NON P	ATENT LITERATUR	E DOCUMENTS		
Include name of the author (in item (book, magazine, journal, se				CAPITAL LETTERS), title of the are erial, symposium, catalog, etc.), dat olisher, city and/or country where put	e, page(s), volume-issue number(s),	T <sup>2</sup>	
		D€	erwent Abstract co	orres. to DE 2155258	[AN 1973-28985]		
		D€	erwent Abstract co	orres. to WO 03/01865	58 [AN 2003-522944]		
		D€	erwent Abstract co	orres. to EP 0354472	[AN 1990-046192]		
		De	erwent Abstract co	orres. to EP 0 269 81	19 [AN 1988-127115]		
		De	erwent Abstract co	orres. to EP 1 256 59	95 [AN 2003-142 228]		
		D€	erwent Abstract co	orres. to WO 92/12823	3 [AN 1992-260227]		
		De	erwent Abstract co	orres. to DE 1 984 9	817 [AN 2000-351610]		

Examiner	Date	
Signature	Considered	

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